



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

TRADEMARK APPLICATION of:

Inventors: **Keith D. Allen et al.**
Appln. No.: **10/005,921**
Filed: **December 4, 2001**
Title: **Transgenic Mice Containing Cash Gene Disruptions**

Order/Docket No. **R-714**
Deposit Account No. **50-1271**
Customer No. **26619**

Date: **March 8, 2002**

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status in accordance with 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELS

5. Basic Filing Fee					\$370.00	370.00	201
6. Total Claims	31	minus 20 =	11	x	9.00	99.00	203
7. Independent Claims	14	minus 3 =	11	x	42.00	462.00	202
8. Multiple Dependent Claims					140.00	140.00	204
9. Surcharge for filing Declaration/filing fee late					65.00	65.00	205
10. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =					\$ 1136.00		
11. Original due date: March 14, 2002							
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.	0	215
13. If Assignment is included, add recording fee.					\$ 40.00	40.00	581
TOTAL FEE (enclosed): =						\$ 1176.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

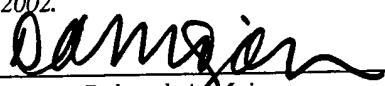
DELTAGEN, INC.

740 Bay Road
Redwood City, CA 94063
Tel (650) 569-5100
Fax (650) 569-5280

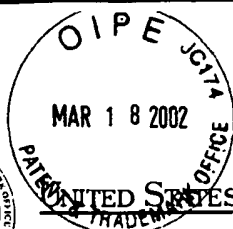

MARIETTE A. LAPIZ, Reg. No. 44,202

CERTIFICATE OF MAILING

I certify that the enclosed documents are being deposited with the U.S. Postal Service as First Class Mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on March 8, 2002.


Deborah A. Mojarro

R-714/MAL



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,921	12/04/2001	Keith D. Allen	R-714

CONFIRMATION NO. 7082

FORMALITIES LETTER



OC000000007304063

DELTAGEN, INC.
740 Bay Road
Redwood City, CA 94063

Date Mailed: 01/14/2002

04/29/2002 SDIRETA1 00000027 10005921

01 FC:203

90.00 OP

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/20/2002 SZEWDIE1 00000048 10005921

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 OP
02 FC:205 65.00 OP
03 FC:202 462.00 OP
04 FC:203 99.00 OP
05 FC:204 140.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$692.
 - \$90 for 10 total claims over 20.
 - \$462 for 11 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1127.

Adjustment Date: 04/29/2002 SDIRETA1
03/20/2002 SZEWDIE1 00000048 10005921
04 FC:203

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Repln. Ref: 04/29/2002 SDIRETA1 0011265900
Ddl: 501721 Name/Number: 10005921
FC: 704 \$9.00 CR